

#7/JDS

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12992

In Re Application Of: **Frank Leymann, et al**

JUN 18 2003

Serial No.

09/488,509

Filing Date

January 20, 2000

Examiner

Kyle Jaehun Choi

Group Art Unit
2033623

Title: **METHOD AND SYSTEM FOR PROVIDING A LINKAGE BETWEEN SYSTEMS AND APPLICATIONS**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12992

In Re Application: Frank Leymann, et al

Serial No.
09/488,509

Filing Date
January 20, 2000

Examiner
Kyle Jaehun Choi

Group Art Unit
3612

METHOD AND SYSTEM FOR PROVIDING A LINKAGE BETWEEN SYSTEMS AND

RECEIVED
JUN 26 2003
GROUP 3600

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. **05-0510.IBM** as described below.

Charge the amount of **\$180.00**

Credit any overpayment.

Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on June 16, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

William C. Roch

Signature

William C. Roch

Typed or Printed Name

*This certificate may only be used if paying by deposit account.

William C. Roch
Signature

Dated: June 16, 2003

William C. Roch
Registration No. 24,972
Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343
WCR:jf

CC:



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Frank Leymann, et al

Examiner: Kyle Jaehun Choi

Serial No.: 09/488,509

Art Unit: 3623

Filed: January 20, 2000

Docket: DE919980062USY (12992)

For: METHOD AND SYSTEM FOR PROVIDING
A LINKAGE BETWEEN SYSTEMS AND
APPLICATIONS

Dated: June 16, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
JUN 20 2003
GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Sir:

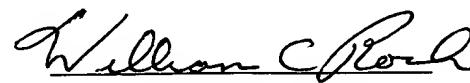
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. FlowMark Modeling Workflow, Part Number 10H0952, Program Numbers 5622-615 for OS/2 and 5765-468 for AIX;
2. MQSeries Workflow, "Concepts and Architecture", Version 3.2.1;
3. IBM MQSeries Workflow, "Getting Started with Buildtime", Version 3.2.1;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 16, 2003.

Dated: June 16, 2003

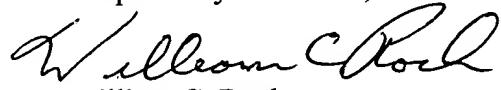

William C. Roch

4. IBM MQSeries Workflow, "Getting Started with Runtime", Version 3.2.1;
5. IBM Technical Disclosure Bulletin, "Workflow Management Based on an Object-Oriented Paradigm", Vol. 37, No. 03, March 1994, p. 185;
6. Editorial: by Ronni T. Marshak, "Workflow as Middleware", Workgroup Computing Report, Vol. 17, No. 5, pp. 2-13;
7. IBM Technical Disclosure Bulletin, D.J. Spohn, Vol. 32, No. 9A, February 1990, pp. 250-254;
8. "Business Process Management With FlowMark", Frank Leymann, Dieter Roller, IBM Germany Development, Inc., pp. 230-234;
9. "A Meta Model To Support Modelling And Execution Of Processes", Frank Leymann, pp. 287-294;
10. IBM Systems Journal, "Managing business processes as an information resource", by F. Leymann, W. Altenhuber, Vol. 33, No. 2, 1994, pp. 326-348;
11. "Verifikation von Workflows in IBM FlowMark", pp. 20-368.

Applicants are submitting copies of the above-cited references, along with a copy of the Office Action thereof.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(c), the fee is enclosed.

Respectfully submitted,



William C. Roch
Registration No. 24,972

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

WCR:jf